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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/815,251
				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
Sheet	1	of	16	Attorney Docket Number	2222.5500000

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If Known)			
	US1	4,203,166	05-13-1980	Eshram et al.	
	US2	4,734,568	03-29-1988	Watanabe	
	US3	4,757,533	07-12-1988	Allen et al.	
	US4	4,799,258	01-17-1989	Davies	
	US5	4,888,800	12-19-1989	Marshall et al.	
	US6	4,972,472	11-20-1990	Brown et al.	
	US7	5,032,979	07-16-1991	Hecht et al.	
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	US10	5,144,660	09-01-1992	Rose	
	US11	5,204,897	04-20-1993	Wyman	
	US12	5,220,657	06-15-1993	Bly et al.	
	US13	5,247,575	09-21-1993	Sprague et al.	
	US14	5,276,735	01-04-1994	Boebert et al.	
	US15	5,301,247	04-05-1994	Rasmussen et al.	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	FP1	EP 0 674 253 A1	09-27-1995	Kabushiki Kaisha Toshiba		
	FP2	EP 0 809 170 A1	11-26-1997	NCR International Inc.		
	FP3	EP 0 913 966 A2	05-06-1999	Sun Microsystems, Inc.		
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	FP7	EP 1 154 348 A2	11-14-2001	Matsushita Electronics Corp.		
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	FP9	EP 0 672 991 A2	09-20-1995	Narasimhalu et al.		

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	US21	5,414,852	05-09-1995	Kramer et al.	
	US22	5,495,533	02-27-1996	Linehan et al.	
	US23	5,499,297	03-12-1996	Boebert	
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	FP10	GB 2 328 047 A	02-10-1999	Microsoft Corporation		
	FP11	JP 2001-036517	02-09-2001	Lucent Technol, Inc.		
	FP12	WO 00/56028 A2	09-21-2000	Texas Software Corp.		
	FP13	WO 01/61438 A2	08-23-2001	Permabit, Inc.		
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	FP18					

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	US42	5,748,736	05-05-1998	Mittra	
	US43	5,751,287	05-12-1998	Hahn et al.	
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	US45	5,765,152	06-09-1998	Ericson	
	US46	5,778,065	07-07-1998	Hausser et al.	
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	US52	5,835,592	11-10-1998	Chang et al.	
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	US67	5,968,177	10-19-1999	Batten-Carew et al.	
	US68	5,987,440	11-16-1999	O'Neil et al.	
	US69	5,991,879	11-23-1999	Still	
	US70	6,023,506	02-08-2000	Ote et al.	
	US71	6,032,216	02-29-2000	Schmuck et al.	
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	FP28					
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/815,251
				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
Sheet	7	of	16	Attorney Docket Number	2222.5500000

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	US121	6,442,688 B1	08-27-2002	Moses et al.	
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	US123	6,449,721 B1	09-10-2002	Pensak et al.	
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				Art Unit	2132
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				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
Sheet	12	of	16	Attorney Docket Number	2222.5500000

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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				Examiner Name	Thomas R. Peeso
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				Filing Date	March 30, 2004
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	NPL4	"Affect," The American Heritage Dictionary of the English Language, Fourth Edition, Houghton Mifflin Company, 2002. Retrieved May 4, 2006 from <a href="http://dictionary.reference.com/search?q=affect">http://dictionary.reference.com/search?q=affect</a>	
	NPL5	"Inside Encrypting file system," Part 1, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL6	"Inside Encrypting file system," Part 2, from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL7	"Security with Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL8	"How EFS work," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL9	"Encrypting File System," from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	
	NPL10	"Features of EFS" from MSDN October, 2001 version, exact publication date is unknown but believed prior to 12/12/2001	

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)				Application Number	10/815,251
				Filing Date	March 30, 2004
				First Named Inventor	Satyajit Nath
				Art Unit	2132
				Examiner Name	Thomas R. Peeso
Sheet	2	of	6	Attorney Docket Number	2222.5500000

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume number, publisher, city and/or country where published	T <sup>2</sup>
	NPL11	" Windows 2000 EFS" in the April 1999 issue of Windows NT magazine	
	NPL12	Microsoft Windows 200 server. Windows 2000 Group Policy White Paper, 2000	
	NPL13	Symantec. Norton Antivirus Corporate Edition Implementation Guide, 1999.	
	NPL14	Crocker, Steven Toye, "Multi-level cryptographic transformations for securing digital assets, "U.S. Patent Application No. 10/404,566, filed 03/31/2003.	
	NPL15	Crocker, Steven Toye, "Effectuating access policy changes to designated places for secured files," U.S. Patent Application No. 10/259,075, filed 09/27/2002.	
	NPL16	Kenrich, Michael Frederick, "Multi-Level File Digest", U.S. Patent Application No. 10/894,493, filed 07/19/2004	
	NPL17	Kinghorn, Gary Mark, "Method and system for protecting electronic data in enterprise environment, "U.S. Patent Application No. 10/159,220, filed 05/31/2002	
	NPL18	Nath, Satyajit, "Method and system for securing digital assets using content type designations," U.S. Patent Application No. 10/405,587, filed 04/01/2003.	
	NPL19	Prakash, Nalini J., "Method and apparatus for securing/unsecuring files by file crawling," U.S. Patent Application No. 10/325,102, filed 12/20/2002	
	NPL20	Rossmann, Alain, "Hybrid systems for securing digital assets," U.S. Patent Application No. 10/325,013, filed 12/20/2002.	

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				Examiner Name	Thomas R. Peeso
Sheet	3	of	6	Attorney Docket Number	2222.5500000

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	NPL21	A Real-Time Push-Pull Communications Model for Distributed Real-Time and Multimedia Systems, January 1999, School of Computer Sciences Carnegie Mellon University, Kanaka Juvva, Raj Rajkumar.	
	NPL22	U.S. patent application, Ser No. 10/889,685, entitled "Method and Apparatus for Controlling the Speed Ranges of a Machine " inventor Thomas, July 13, 2004, 18 pgs.	
	NPL23	U.S. patent application, Ser No. 10/028,397, entitled "Method and system for restricting use of a clipboard application, " inventor Zuili, December 21, 2001, 38 pgs.	
	NPL24	U.S. patent application, Ser No. 10/368,277, entitled "Method and apparatus for uniquely identifying files, " inventor Ouye, February 18, 2003, 25 pgs.	
	NPL25	U.S. patent application, Ser No. 10/327,320, entitled "Security system with staging capabilities " inventor Vainstein, December 20, 2002, 39 pgs.	
	NPL26	U.S. patent application, Ser No. 10/286,524, entitled "Security system that uses indirect password-based encryption, " inventor Gutnik, November 1, 2002, 38 pgs.	
	NPL27	U.S. patent application, Ser No. 10/242,185, entitled "Method and system for protecting encrypted files transmitted over a network " inventor Ryan, September 11, 2002, 33 pgs.	
	NPL28	U.S. patent application, Ser No. 10/642,041, entitled "Method and system for fault-tolerant transfer of files across a network " inventor Kenrich, August 15, 2003, 32 pgs.	
	NPL29	U.S. patent application, Ser No. 10/610,832, entitled "Method and system for enabling users of a group shared across multiple file security systems to access secured files " inventor Ryan, June 30, 2003, 33 pgs.	
	NPL30	U.S. patent application, Ser No. 10/448,806, entitled "Method and System for Using Remote Headers to Secure Electronic Files " inventor Ryan, May 30, 2003, 35 pgs.	

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	NPL31	U.S. patent application, Ser No. 10/074,194, entitled "Methods for identifying compounds that inhibit or reduce PTP1B expressions" inventor Rondinone, February 12, 2002, 69 pgs.	
	NPL32	U.S. patent application, Ser No. 10/074,804, entitled "Secured Data Format for Access Control," inventor Garcia, February 12, 2002, 108 pgs.	
	NPL33	U.S. patent application, Ser No. 10/075,194, entitled "System and Method for Providing Multi-location Access Management to Secured Items," inventor Vainstein et al., February 12, 2002, 110 pgs.	
	NPL34	U.S. patent application, Ser No. 10/074,996, entitled "Method and Apparatus for Securing Electronic Data," inventor Lee et al., February 12, 2002, 111 pgs.	
	NPL35	U.S. patent application, Ser No. 10/074,825, entitled "Method and Apparatus for Accessing Secured Electronic Data Off-line," inventor Lee et al., February 12, 2002, 108 pgs.	
	NPL36	U.S. patent application, Ser No. 10/105,532, entitled "System and Method for Providing Different Levels of Key Security for Controlling Access to Secured Items," inventor Hildebrand et al., March 20, 2002, 86 pgs.	
	NPL37	U.S. patent application, Ser No. 10/186,203, entitled "Method and System for Implementing Changes to Security Policies in a Distributed Security System," inventor Huang, June 26, 2002, 65 pgs.	
	NPL38	U.S. patent application, Ser No. 10/201,756, entitled "Managing Secured Files in Designated Locations," inventor Alain, July 22, 2002, 121 pgs.	
	NPL39	U.S. patent application, Ser No. 10/206,737, entitled "Method and System for Updating Keys in a Distributed Security System," inventor Hildebrand, July 26, 2002, 60 pgs.	
	NPL40	U.S. patent application, Ser No. 10/246,079, entitled "Security System for Generating Keys from Access rules in a Decentralized Manner and Methods Therefor," inventor Hildebrand, September 17, 2002, 78 pgs.	

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				<i>First Named Inventor</i>	Satyajit Nath
				<i>Art Unit</i>	2132
				<i>Examiner Name</i>	Thomas R. Peeso
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	NPL41	U.S. patent application, Ser No. 10/259,075, entitled "Effectuating Access Policy Changes to Designated Places for Secured Files," inventor Crocker, September 27, 2002, 60 pgs.	
	NPL42	U.S. patent application, Ser No. 10/286,575, entitled "Method and Architecture for Providing Access to Secured Data from Non-Secured Clients," inventor Vainstein, November 1, 2002, 46 pgs.	
	NPL43	U.S. patent application, Ser No. 10/295,363, entitled "Security System Using Indirect Key Generation from Access Rules and Methods Therefor," inventor Vainstein, November 15, 2002, 70 pgs.	
	NPL44	U.S. patent application, Ser No. 11/889,310, entitled "Methods and Systems for Providing Access Control to Electronic Data," inventor Rossmann, August 10, 2007, 90 pgs.	
	NPL45	U.S. patent application, Ser No. 11/797,367, entitled "Method and System for Managing Security Tiers," inventor Vainstein, May 2, 2007, 11 pgs.	
	NPL46	Adobe Acrobat 5.0 Classroom in a Book, Adobe Press, 26 June 2001, pp.1-4.	
	NPL47	Adobe Acrobat Security Settings, Acrobat 7.0, 15 Nov 2004, pp.1-4.	
	NPL48	"Security Options". December 20, 2001. DC & Co. pages 1-2	
	NPL49	Microsoft Press Computer Dictionary, 1997, Microsoft Press, Third Edition, page 426.	
	NPL50	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8530, 2 pages.	

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	NPL51	Search Report, completion date October 2, 2003, for European Patent Application No. EP 02 25 8531, 2 pages.	
	NPL52	Search Report, completion date April 14, 2005, for European Patent Application No. EP 02 25 8533, 2 pages.	
	NPL53	Search Report, completion date March 16, 2005, for European Patent Application No. EP 02 25 8534, 2 pages.	
	NPL54	Search Report, completion date March 2, 2005, for European Patent Application No. EP 02 25 8535, 2 pages.	
	NPL55	Search Report, completion date March 3, 2005, for European Patent Application No. EP 02 25 8537, 2 pages.	
	NPL56	Search Report, completion date May 12, 2005, for European Patent Application No. EP 02 25 8539, 2 pages.	
	NPL57	Search Report, completion date July 6, 2005, for European Patent Application No. EP 02 25 8529, 4 pages.	
	NPL58	Search Report, completion date October 8, 2003, for European Patent Application No. EP 02 25 8536, 2 pages.	
	NPL59	Search Report, completion date May 8, 2003, for European Patent Application No. EP 02 25 8540, 2 pages.	
	NPL60		

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